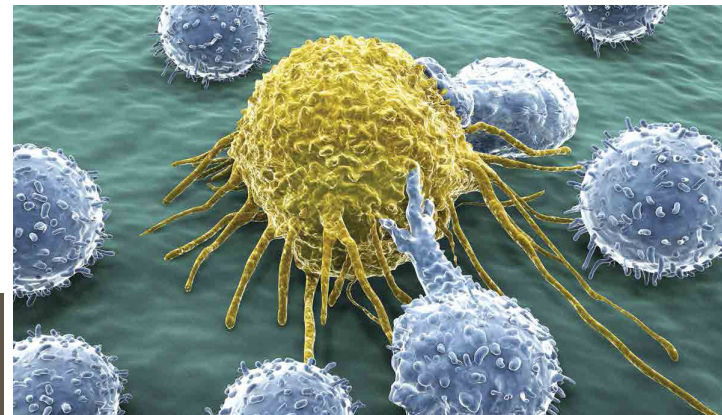
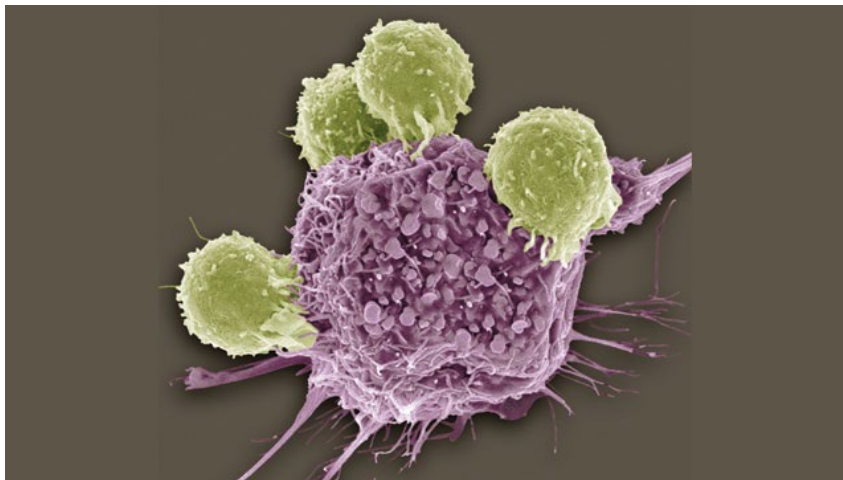


# Clinical development of immunotherapies

Marc S. Ernstoff, M.D.  
Chief, ImmunoOncology Branch  
Division of Cancer Treatment and Diagnosis  
National Cancer Institute, NIH  
Bethesda, Maryland



**2021 NCI Drug Development Workshop**  
How to Advance A Therapeutic Candidate from Bench to  
Bedside

## Goals\*

- Historical perspective
- Re-familiarization of the principles of Cancer Immunology
- Range of Agents
- Creating a framework for IO clinical development

\* These are personal perspective and does not represent NCI policy

# Historical Perspective

- Observations
- Historical Narratives

# Cancer Treatment Paradigms: Theory to Therapy

## Hypothesis: Radiation damage can Treat Cancer 19-20<sup>th</sup> Century

1896: E. Grubbe: Rx of breast cancer

1909: C. Regaud: Chromatin target of XRT

1922: H. Coutard: Fractionated XRT

## Hypothesis: Surgery as curative intent 19-20<sup>th</sup> Century

1882: W. Halsted: Sufficient Local Removal of the tumor to cure cancer

1954: O. Wangenstein: Rescue benefit with metastatectomy

1958: B. Fisher: Cancer's metastatic behavior dictates outcome and thus less surgery is more

1995: S. Hellman & R. Weichselbaum: Oligometastasis hypothesis

## Hypothesis: Unencumbered cell division causes cancer: Inhibit tumor cell division – Chemotherapy 20<sup>th</sup> Century

1940: L. Goodman & A. Gilman – Nitrogen Mustard

1948: S. Farber: Anti-Folate

1955: National Cancer Chemotherapy Service Center

1965: J. Holland, E. Freirech, E. Frei: Combination chemotherapy

## Hypothesis: Molecular drivers of cancer: Inhibit tumor driver pathway – Targeted therapy 21<sup>st</sup> Century

1941: C. Huggins and C. Hodges: Testosterone inhibition CaP

1971: M. Cole: anti-estrogens in BrCa

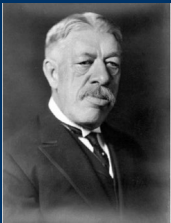
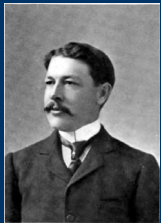
2001: B. Druker: Inhibition of BCR-ABL by TKI in CML

## Hypothesis: Immune therapy for cancer: Immune recognition and destruction of cancer– 18<sup>th</sup> - 21<sup>st</sup> Century

# Immunotherapy for Cancer: Induce inflammation

## A 18<sup>th</sup> and 19<sup>th</sup> Century Paradigm

- 1768: G. White: Use of poultice made from decaying toads for breast cancer
- 1844: S. Tanchou: Treatise on breast cancer: spontaneously or induced Gangrene as a therapeutic agent in cancer
- 1886: A. Verneuil: Suppuration after surgery; Congress of Surgery Paris
- 1891: W.B.Coley: Annals of Surgery describing Toxins: Initially used deliberate infection and in 1893 he began combining killed *Streptococcus pyogenes* and *Serratia marcescens* ---- 1985 mammalian TLR



Dr. William B. Coley (1862-1936)

Chief of the Bone Sarcoma Unit at Memorial Hospital in New York

# Historical Development of Interferon

- First described by Yasu-ichi Nagano and Yasuhiko Kojima 1954 reported in French (Seances Soc. Biol. Fil). - viral inhibitory factor
- Independently described by Alick Isaacs and Jean Lindenmann in 1957 (J Proc. Roy. Soc. Lond. B Biol. Sci) – coined the term interferon

# 1960 Space Phase I Unit: Interferon





# Interferon The Room Where it Happened 1979



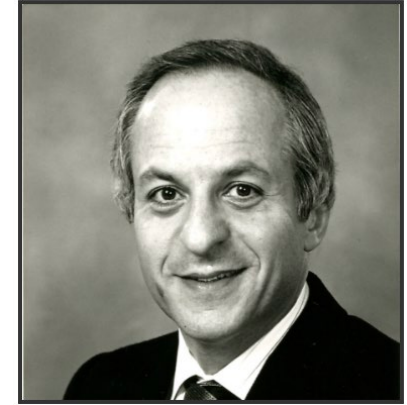
Mathilde Krim



Mary Lasker - \$1M  
Lasker Foundation



Frank Rauscher \$1M  
NCI



Jordan Guttermann  
MDAC

## ***Medical News***

December 28, 1979

### **At year's end, what's new with interferon?**

**L**ast year the American Cancer Society (ACS) announced that it was spending \$2 million to purchase 40 billion units of interferon from the Finnish Red Cross, enough for American oncologists to study interferon's anticancer activity in about 150 patients.

on widely available. The current price is high because all present methods involve collecting interferon from supernatant fluids of cells grown in culture, a time- and space-intensive procedure.

This same problem, combined with inevitable losses

ACS commitment of  
**\$2M**



# Immunology captures the Public Interest

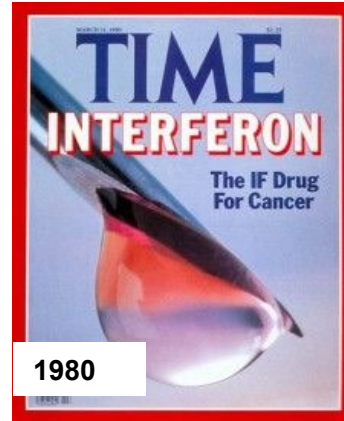
New York Times - July 29, 1908

## ERYSIPELAS GERMS AS CURE FOR CANCER

Dr. Coley's Remedy of Mixed  
Toxins Makes One Disease  
Cast Out the Other.

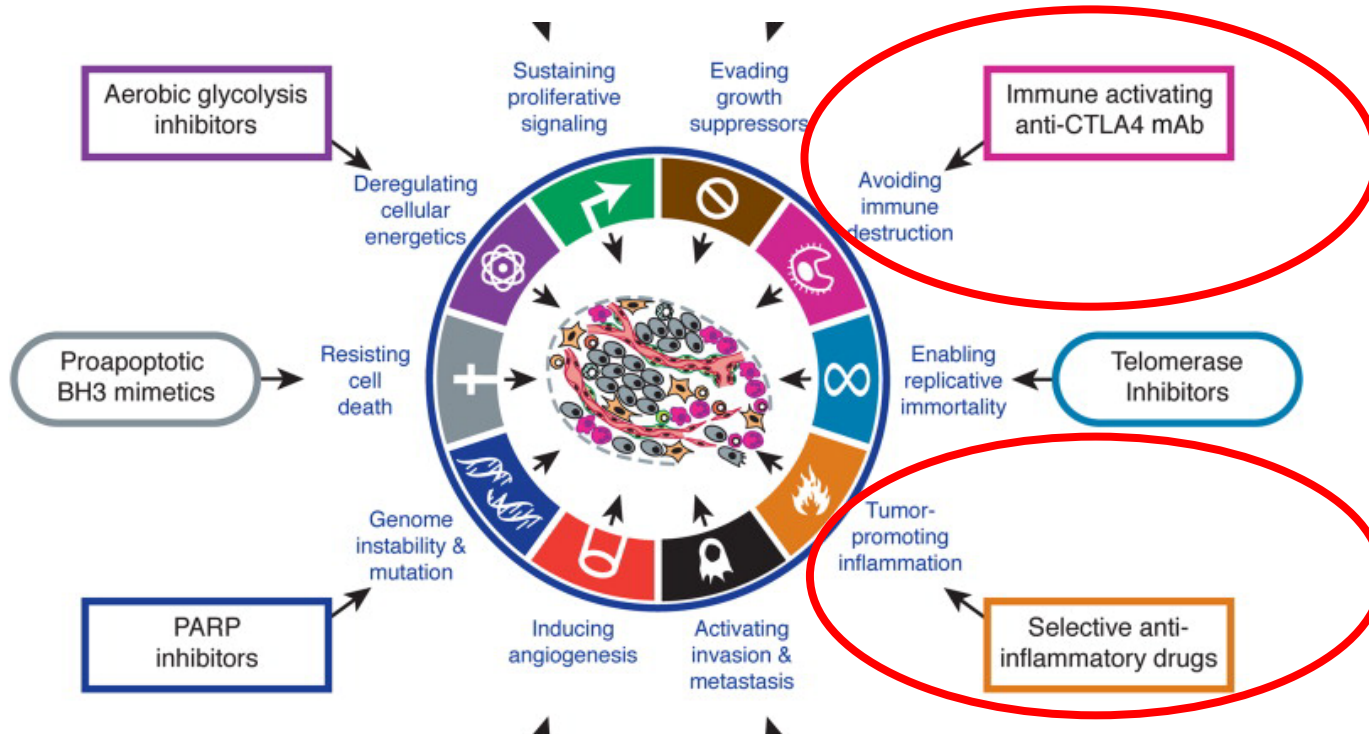
**MANY CASES CURED HERE**

Physician Has Used the Cure for 15  
Years and Treated 430 Cases—  
Probably 150 Sure Cures.

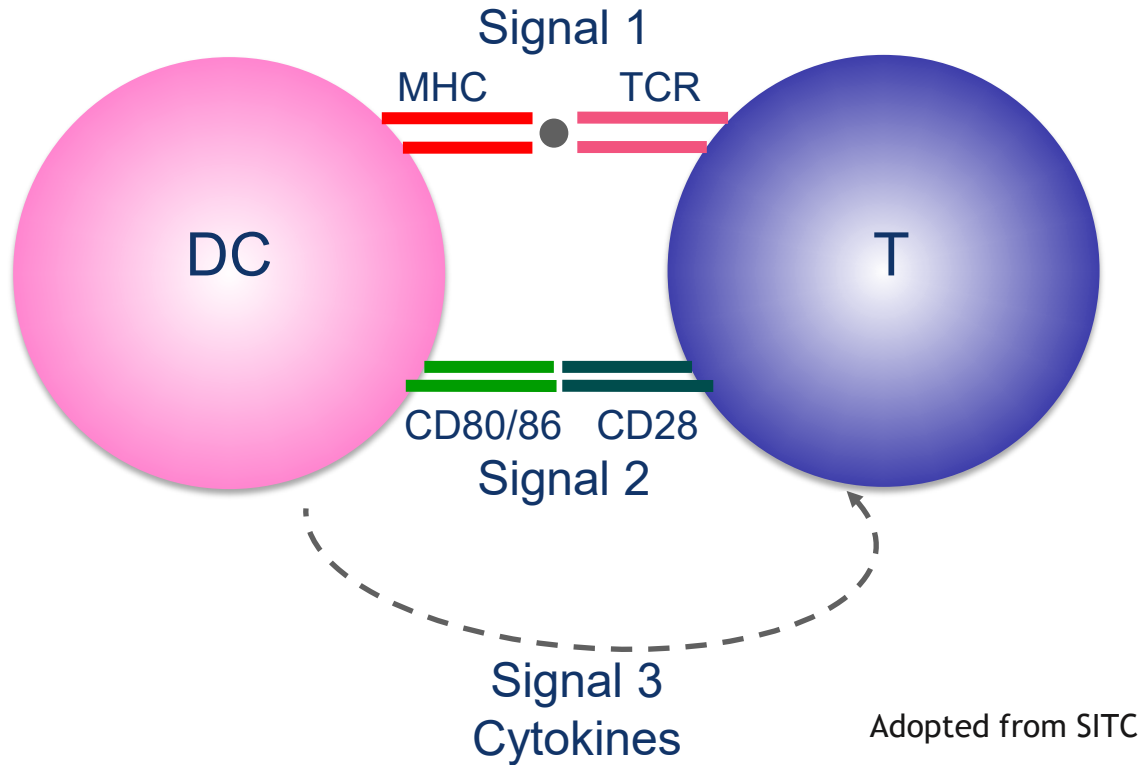


# Principles of Cancer Immunology

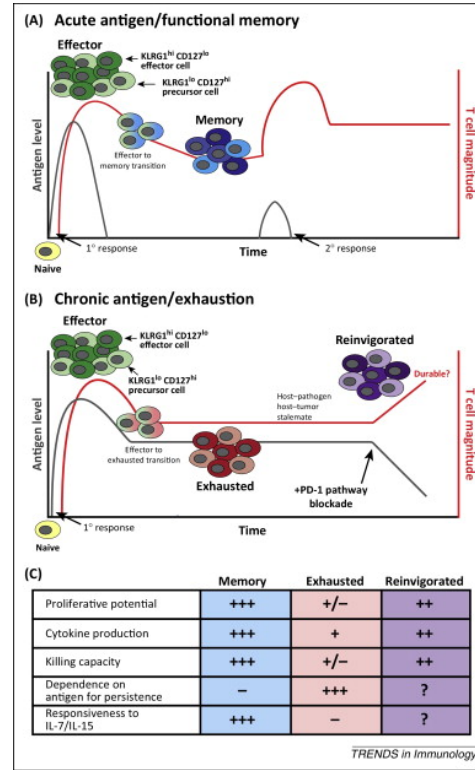
# Summary of the Hallmarks of Cancer



# Activation of a T Cell

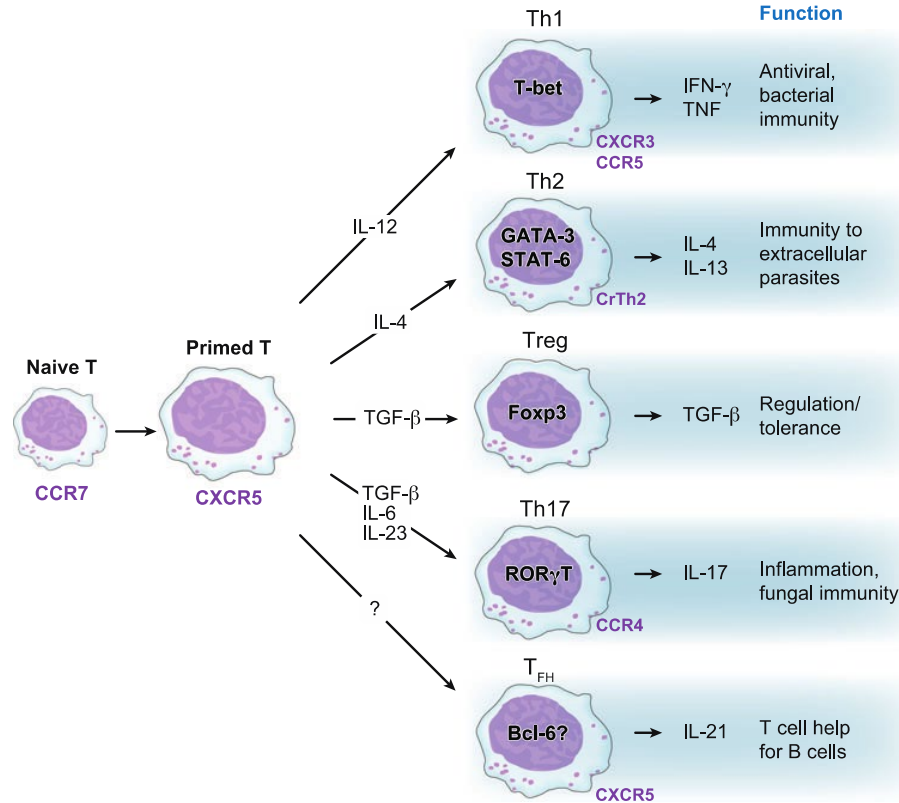


# Basic Immunology: Immune Response Kinetics

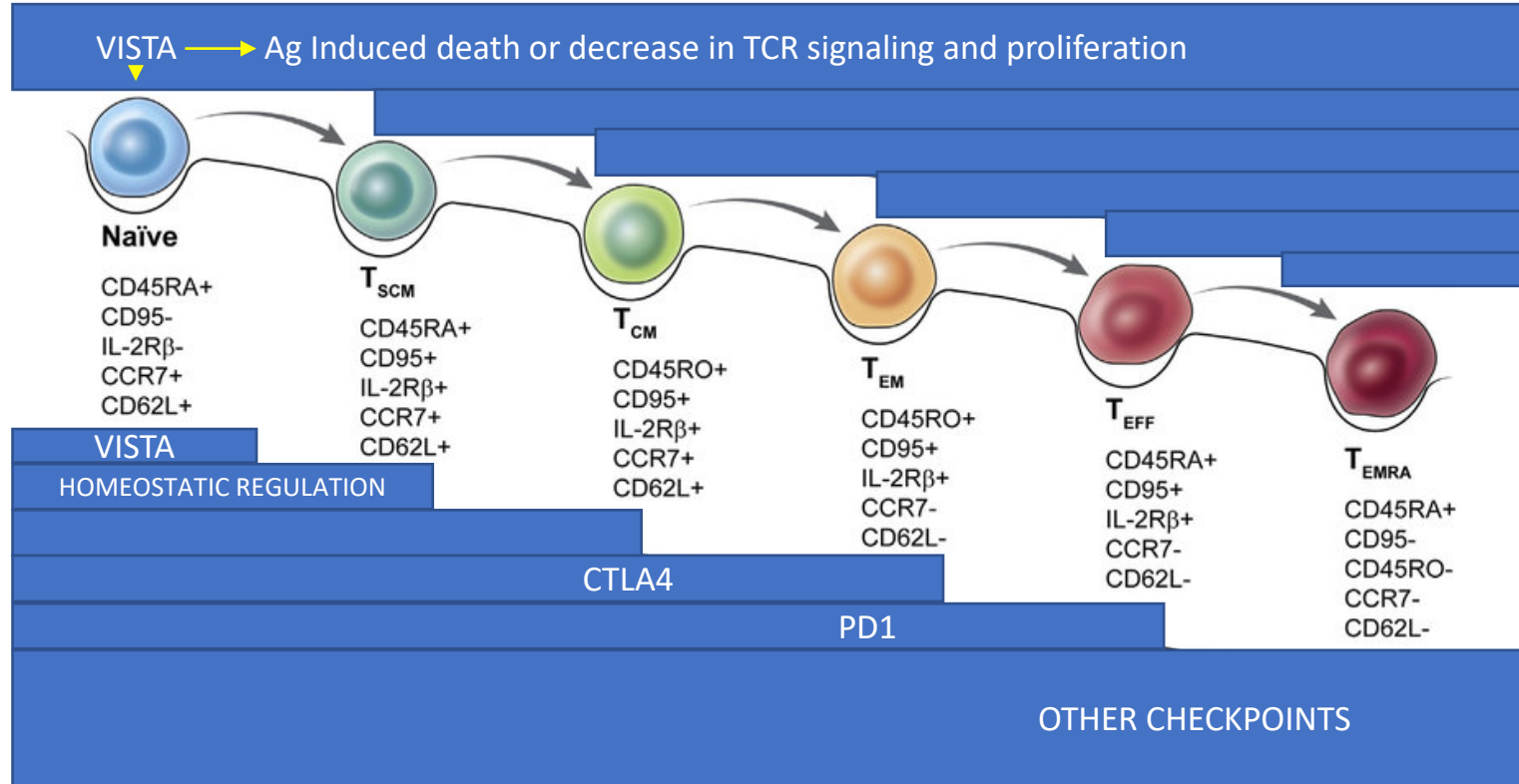


Pauken & Wherry  
Trends in Immunology  
2015

# CD4 Differentiation to Effector Cells



# Hierarchical Expression of CD8 T Cell Immune Checkpoints





## Exhausted CD8<sup>+</sup> T Cell

- Reduced proliferative capacity
- Reduced production of effector cytokines
- Reduced cytotoxicity
- Elevated and sustained expression of multiple inhibitory receptors

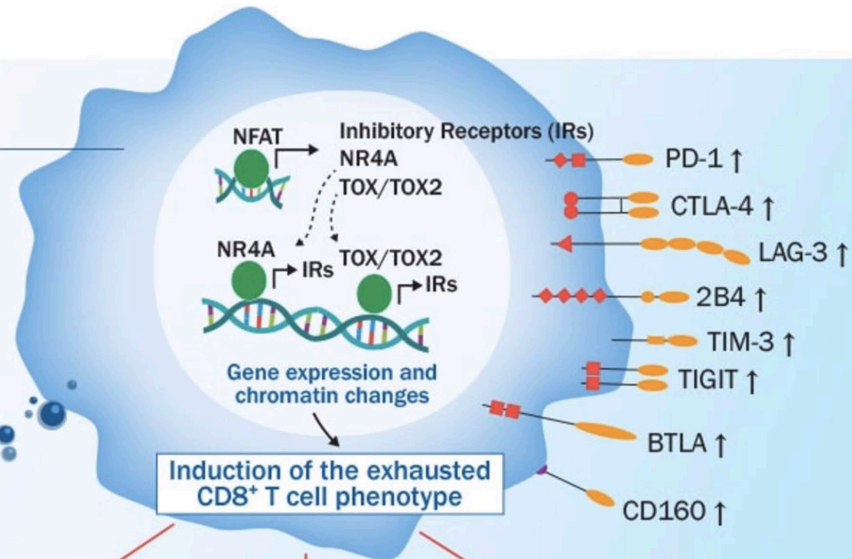
IL-2 ↓  
IFN-γ ↓

TNF-α ↓  
Granzyme B ↓

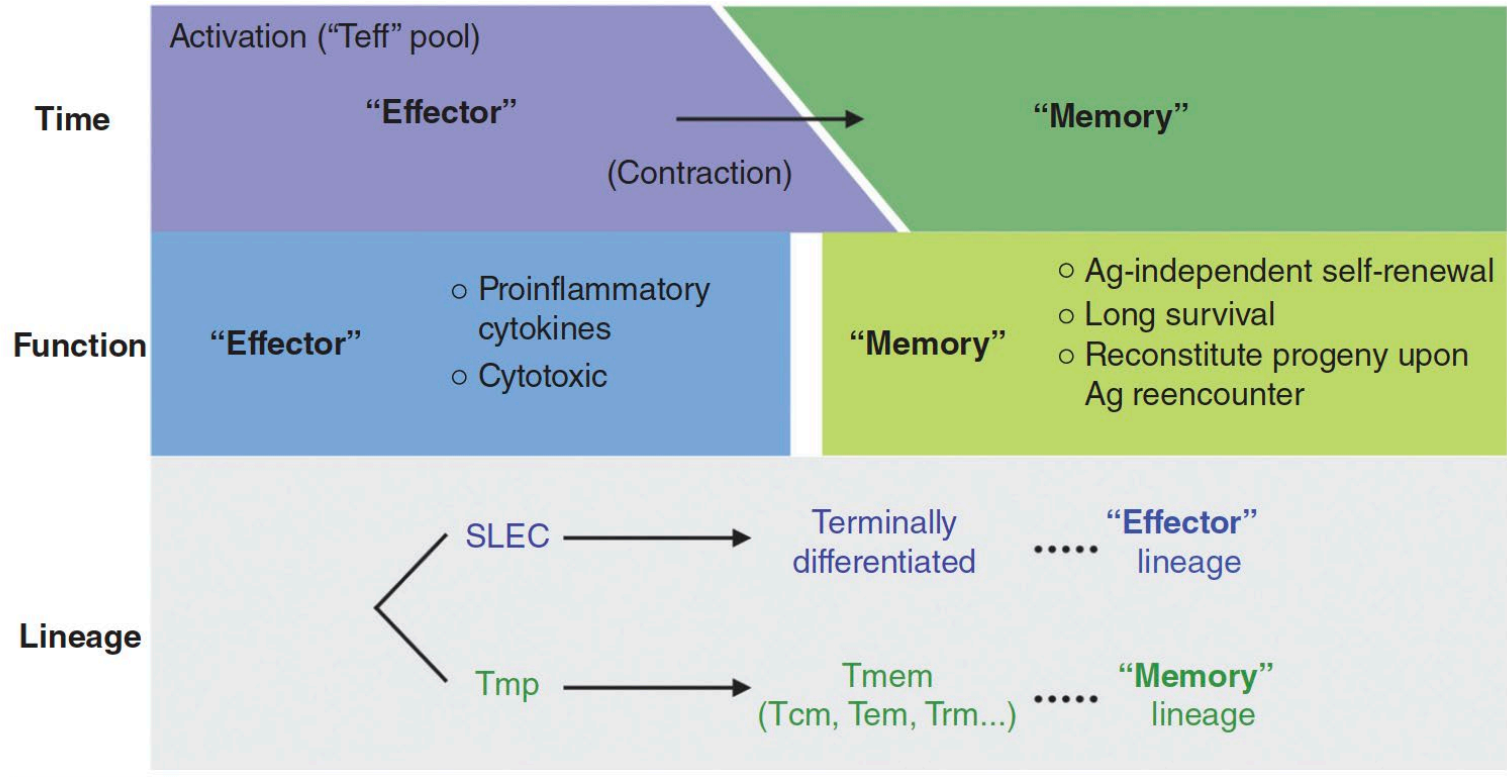
Immune Response to  
Chronic Viral Infections

Anti-Tumor Immune Response

CAR T Cell Functions in  
Solid Tumors



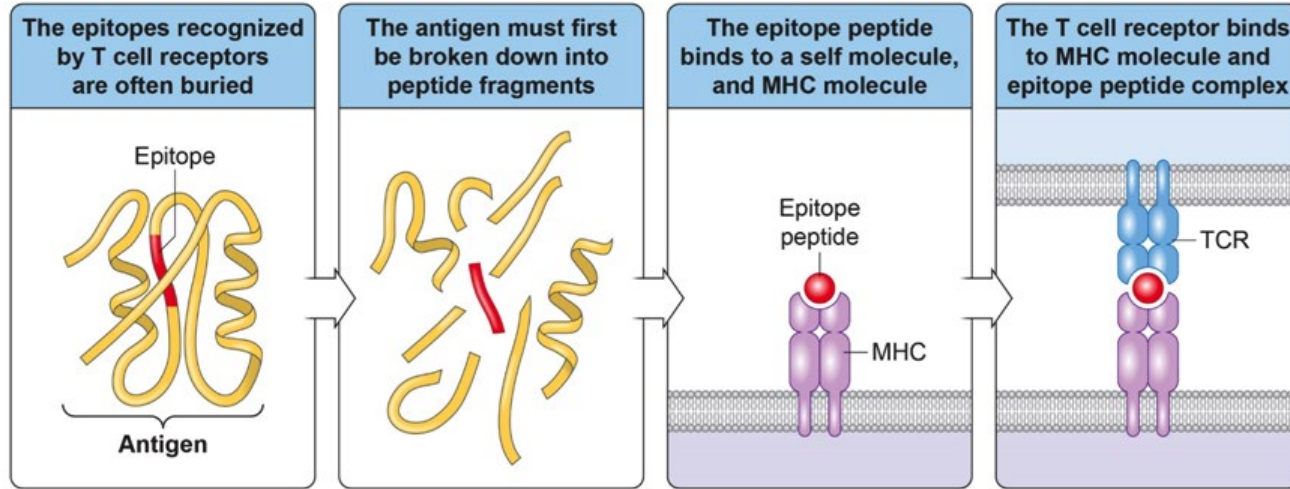
# Heterogeneity Model of CD8 T cells



SLEC: Short lived effector cells

Tmp: T memory precursors

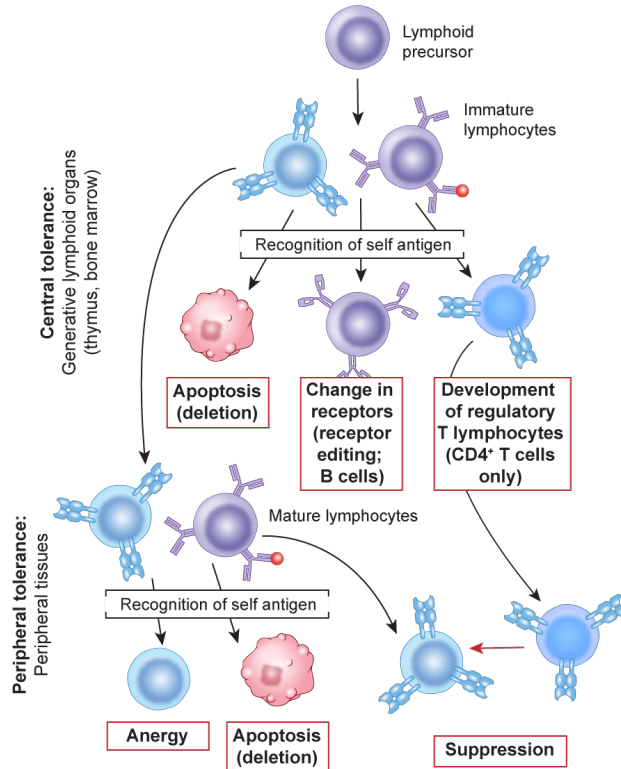
# Antigen (protein) Presentation



MHC = Major Histocompatibility Complex

Lipid antigens presentation to T cells through CD1 (related to the class I MHC molecules)

# Central and Peripheral Tolerance



## Central Tolerance

- For T cells it occurs in the thymus
- Some survive as regulatory (suppressor) T cells while others escape to peripheral tissues

## Peripheral Tolerance

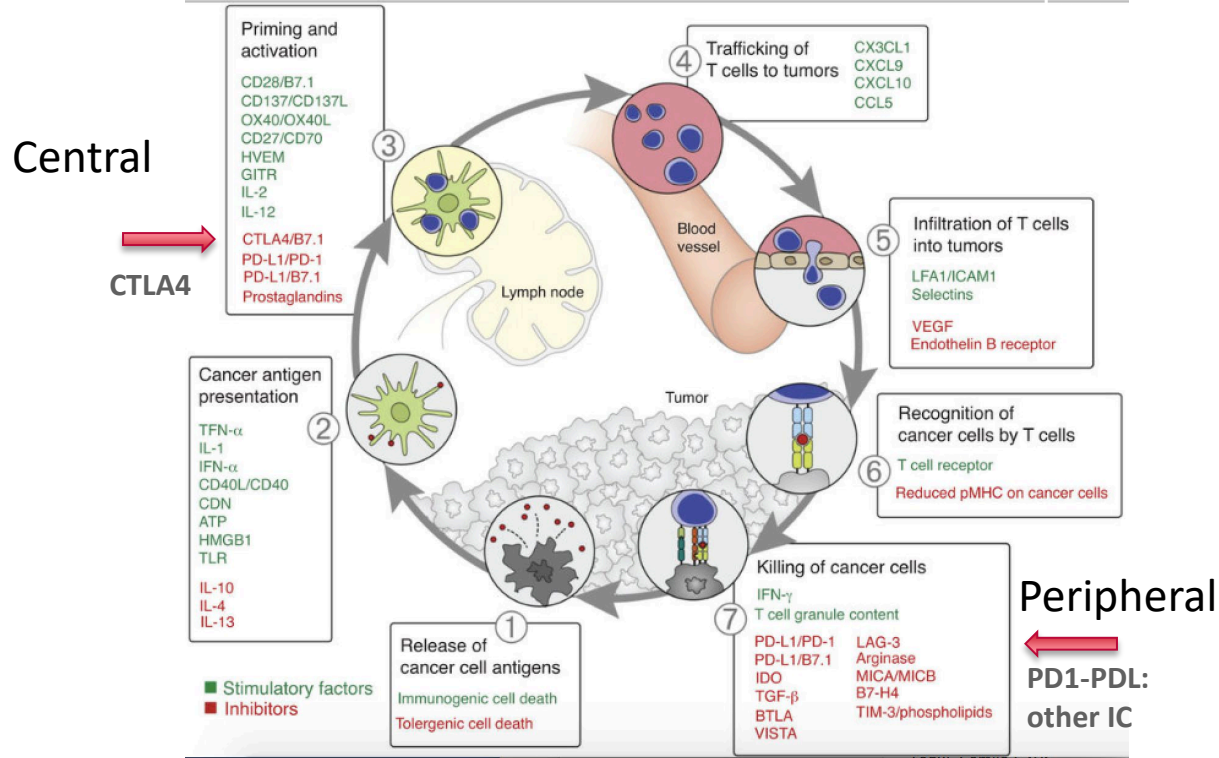
- Self-reactive T cells are suppressed by regulatory T cells
- CTLA-4 and PD-1, among other molecules play a role in maintaining self-reactive T cells from becoming activated (anergic)

Adopted from SITC

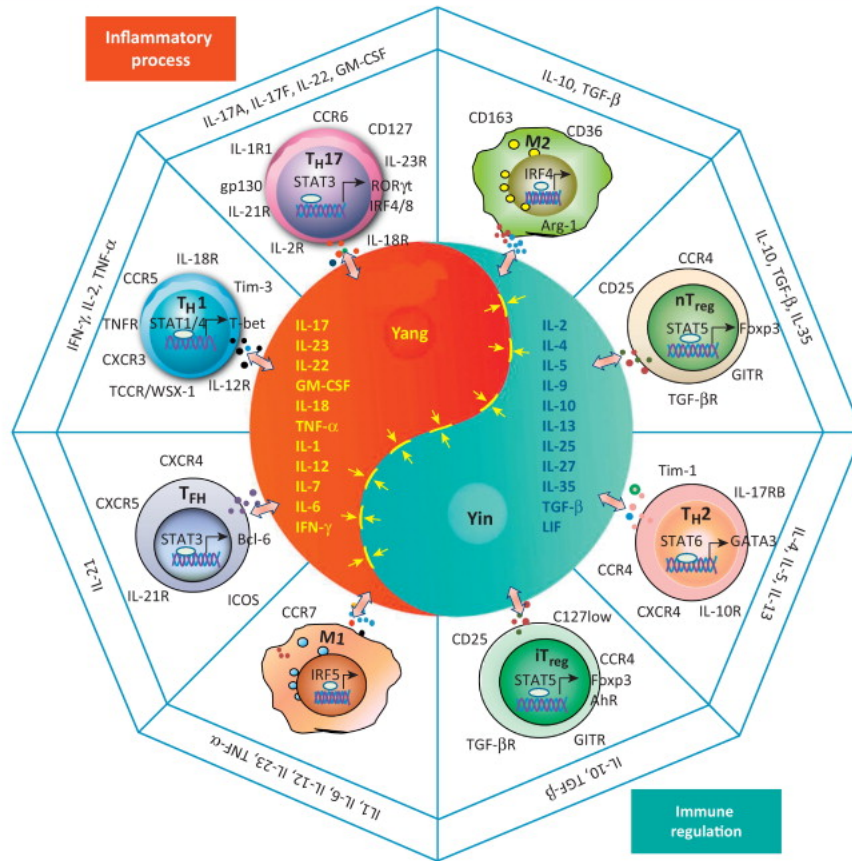
# Immune Cycle

## Locations of Immune Checkpoint Control

From Chen & Mellman



# Systemic and TME Components

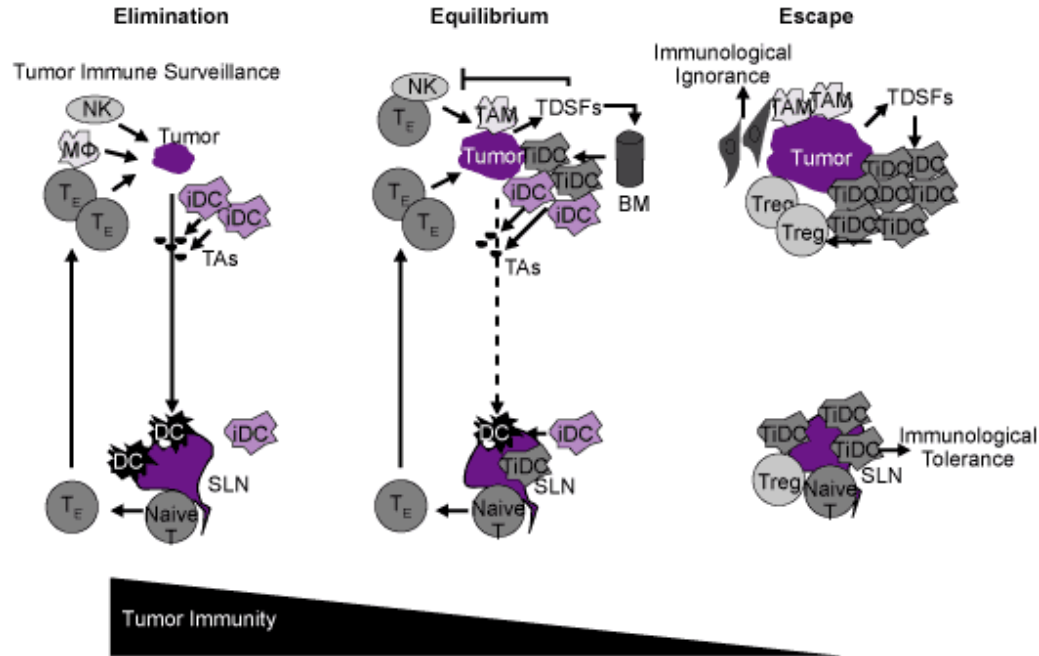


# Tumor Immunogenicity: Immune Surveillance & Immunoediting

Ehrlich 1909

Burnet and Thomas 1957

Schreiber 2002



BM, bone marrow; iDC, immature dendritic cell; Mφ, macrophage; SLN, sentinel lymph node; TAM, tumor-associated macrophage; TAs, tumor antigens; TDSFs, tumor-derived soluble factors; T<sub>E</sub>, effector T cell; TiDC, tumor-associated iDC; Tregs, regulatory T cells.



# Range of Agents

# Range of Agents:

## The Landscape of Immunotherapy Targets & Agents for Cancer in 2021

<u>Adoptive</u>	<u>Depletion</u>	<u>Antibody</u>	<u>"Kines"</u>	<u>Metabolmes</u>	<u>Environ</u>	<u>Intratumoral</u>	<u>Antigens</u>
T cells	Treg	ICI	IFN $\alpha$ , $\beta$ , & $\gamma$	Adenosine	Gut Microbio	TLRs	Targets Modification
NK cells	MDSC	BiTEs/TriTEs	IL-2	Tyrosine (IDO)	Other Microbio	oncolysates	Epigenetic Agnets
Dendritic Cells	TAMs	Conjugate	Designed		Adrenergic Stress	Chemokines	Vaccines
CD34 Cells	Lymphodeplete	Cytokine block	G- & GM-CSF		Glucocorticoid Stress	Cytokines	Germline
Genetic Mod	CAFs	Chemo block	IL-7			Genes	
Allo	Exosomes	Integrin Block	IL-12				
		ATP-idase (CD39, 73)	IL-15				
		ADCC	TNF $\alpha$				
			IL-10				
			IL-4				

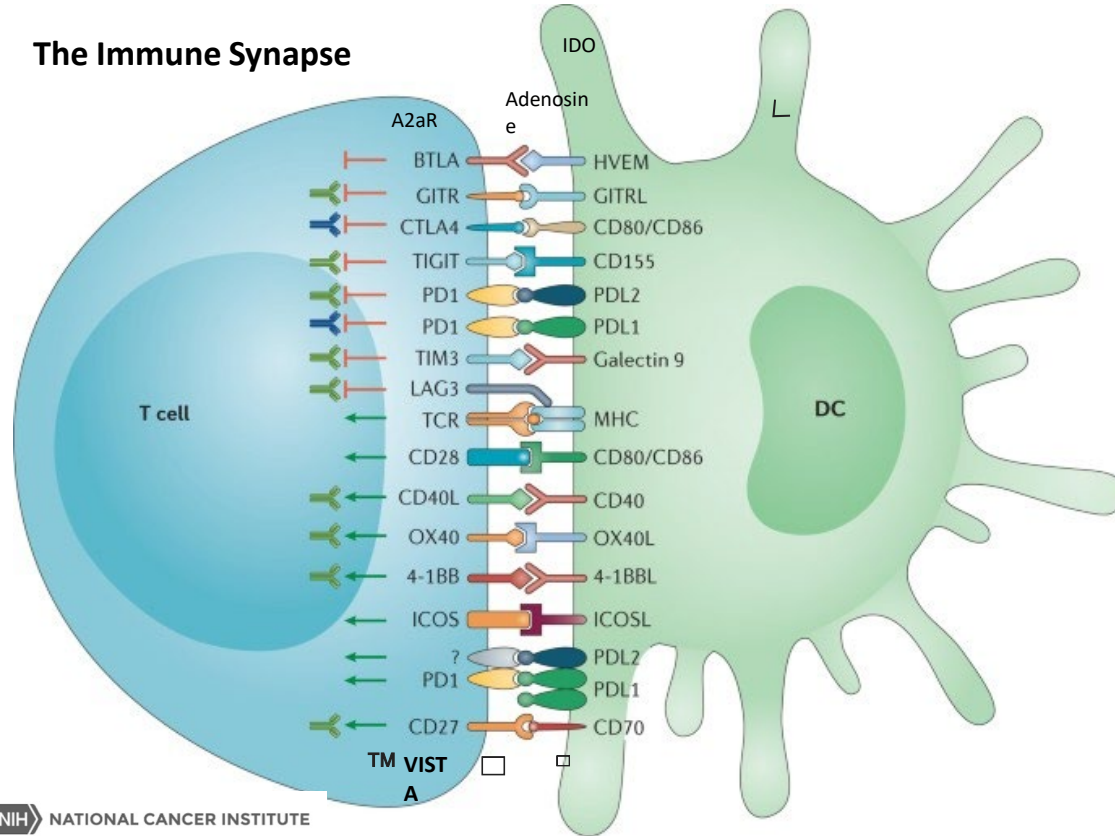
# Engineered T cells

- Chimeric Antigen Receptor
  - Starting with Auto (Allo) T cells
  - CAR engineering
    - MHC independent
    - Receptor/Target Surface
    - Target specificity
    - Transmembrane bridge
    - Humanization of sVC domain
    - Signaling domains
  - Knockout (armored)
    - TCR
    - HLA
    - Other
- TCR T cells
  - Starting with Auto (Allo) T cells
  - Targets can be intracellular immune epitopes
  - MHC specific
  - Target specificity
  - Knockout (armored)
    - TCR
    - HLA
    - Other

# Immune Checkpoints

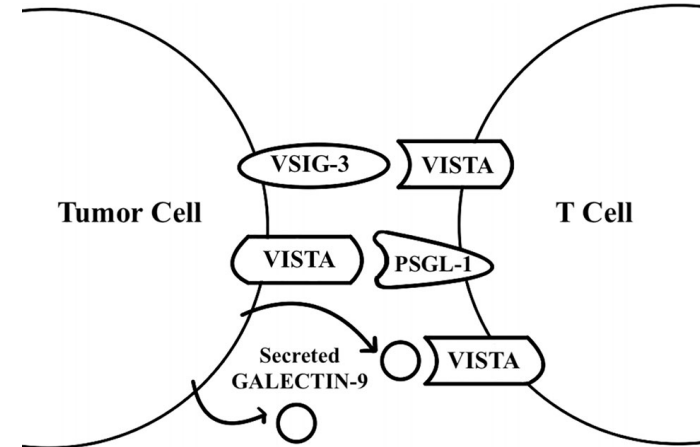
Interactions with antigen-presenting cells that regulate T cell responses

## The Immune Synapse



## VISTA in the TME

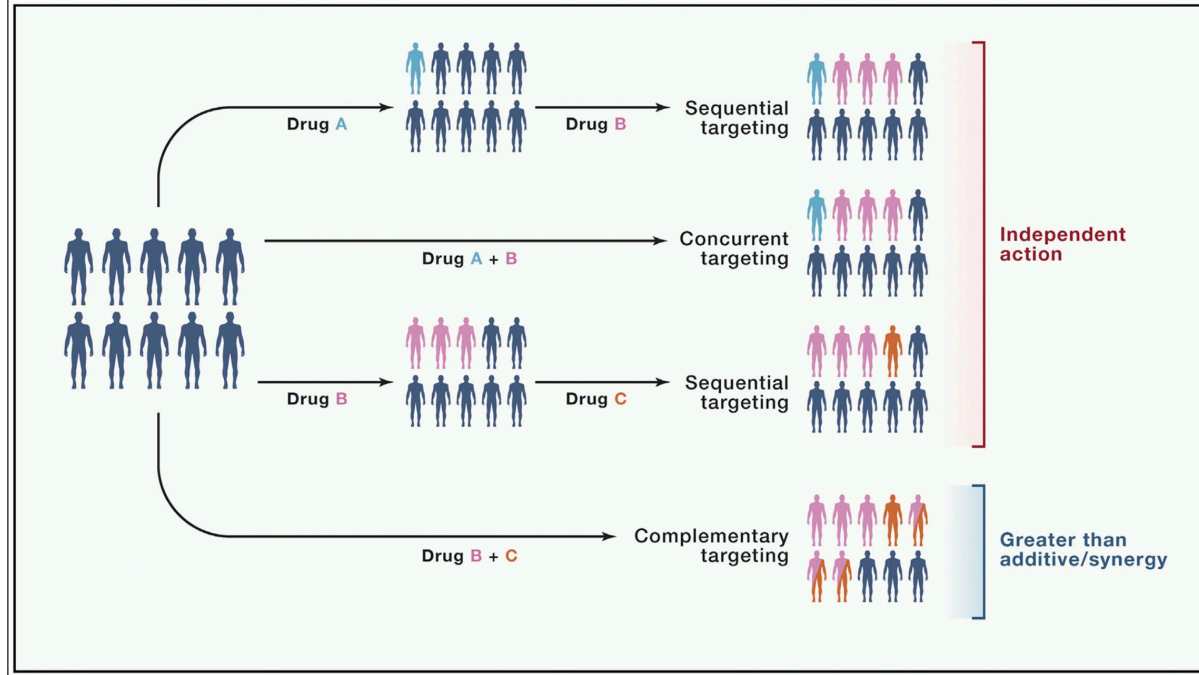
(Yum & Hong 2021)



# Creating a framework for IO clinical development

# Combination Drug Therapy

Doroshow JH and  
Simon RM 2017



Adopted from:  
Frei & Freireich 1965,  
Sartorelli 1969, DeVita  
& Schein 1973,  
Kummar 2010,  
Parchmanet &  
Doroshow 2016

# Combination Principles

- Drugs used in combination should cause measurable tumor regressions when employed individually – **Not always the case in IO development**
- Each ought to demonstrate a different mechanism of action to minimize the development of resistance – **IO pathway dependent**
- The clinical toxicities of each compound should not overlap to permit their use in effective doses – **irAE are common across IO agents**
- Intensive intermittent treatment is preferred over continuous, low-dose therapy to enhance cytoreduction - **Maintenance of effector cell populations**
- Trial designed on systems biology: mechanistic understanding of drug action could facilitate a clinical-trial-design approach based on precise measures of biochemical heterogeneity from patient-derived materials - **Ditto for IO**



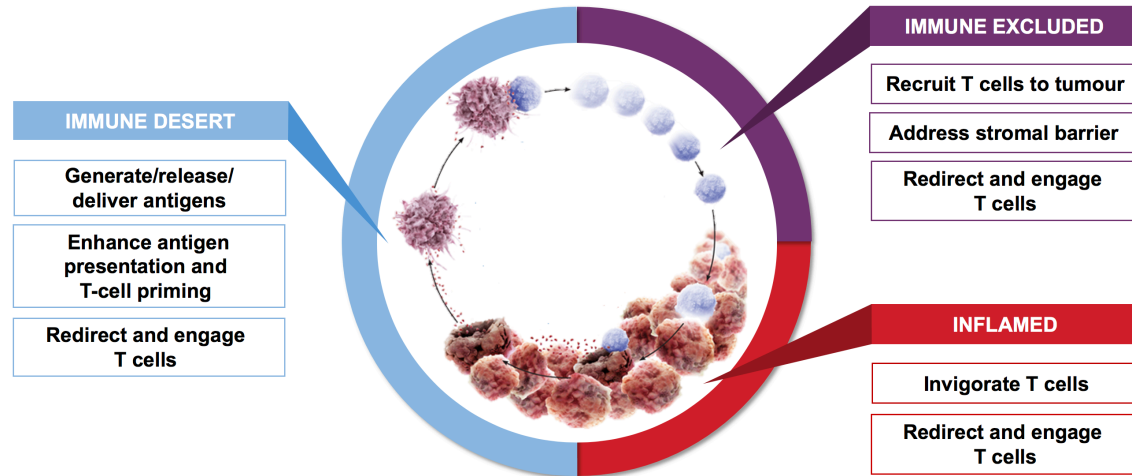
# Meckel-Serres Law or Theory of Recapitulation

## Ontogeny recapitulates Phylogeny (Haeckel)

### Applied to Cancer Immunology (Ernstoff 2000)

Antigen ➔ Presentation ➔ Activation ➔ Expansion ➔ Regulation ➔ Trafficking ➔ Exhaustion ➔ Escape ➔ Resistance

#### Key strategies to reinitiate the anti-tumour immune response according to each phenotype

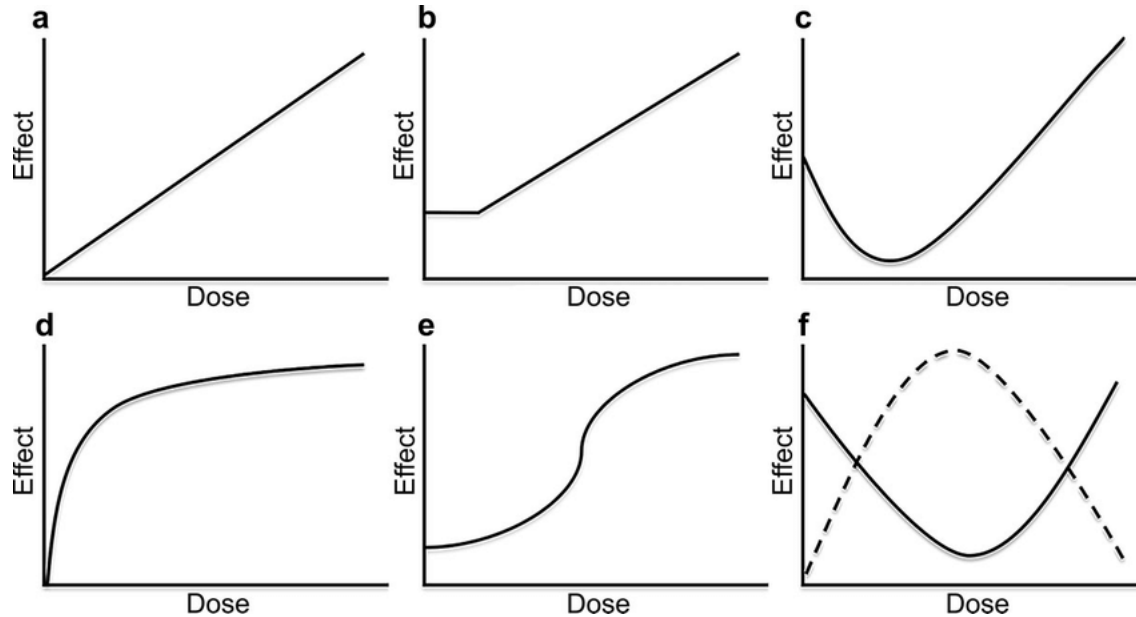


Adapted from 1. Chen DS, & Mellman I. *Immunity* 2013; 39(1): 1-10; 2. Hedge PS, et al. *Clin Cancer Res* 2016; 22(8) 1865-1874; 3. Kim JM, & Chen DS. *Ann Oncol* 2016; 27(8): 1492-1504; 4. Chen DS, & Mellman I. *Nature* 2017; 541(7637): 321-330.

## History of Combination IOs

Regimen	Indication	Author	Year
IL-2, ATC	Mel. RCC	Rosenberg	1965
IL-2, IFNa	RCC	Atzopdien	1990
IFNa, IFNg	RCC	Ernstoff	1992
Biochemo	Mel	Ron	1994
IFNb, IL-2	RCC	Witte	1995
BiAb IFNg	Her2+	Lewis	2001
Ipi, IL-2	Mel	Maker	2005
IFNa, Vax	Mel	Mitchell	2007
IFNa, IL-2, Vax	RCC	Schwaab	2009
Ipi, gp100 Vax	Mel	Hodi	2010
Ipi, Nivo	Mel	Wolchock	2013

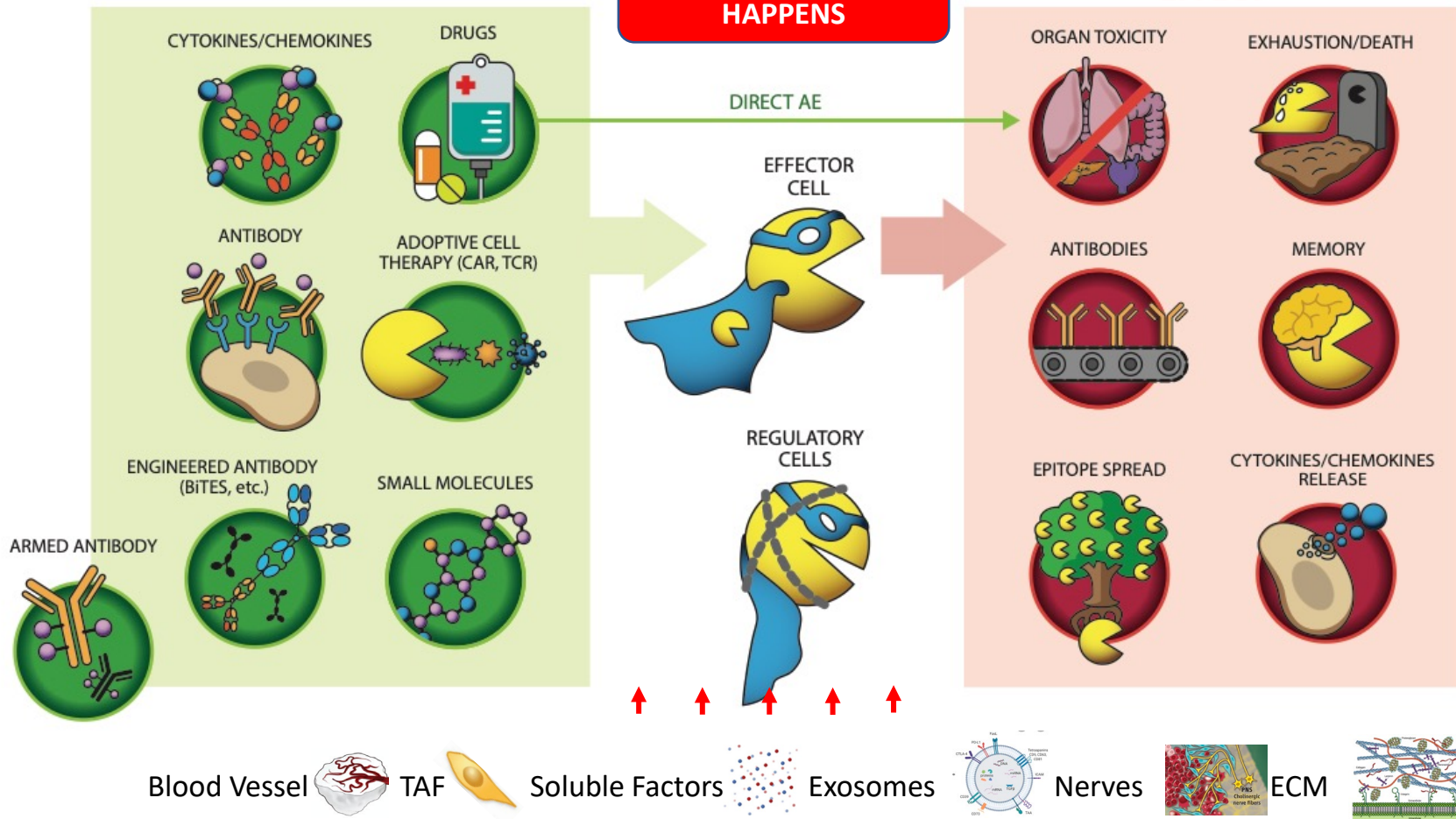
# Dose Response Curve Considerations for IO agents



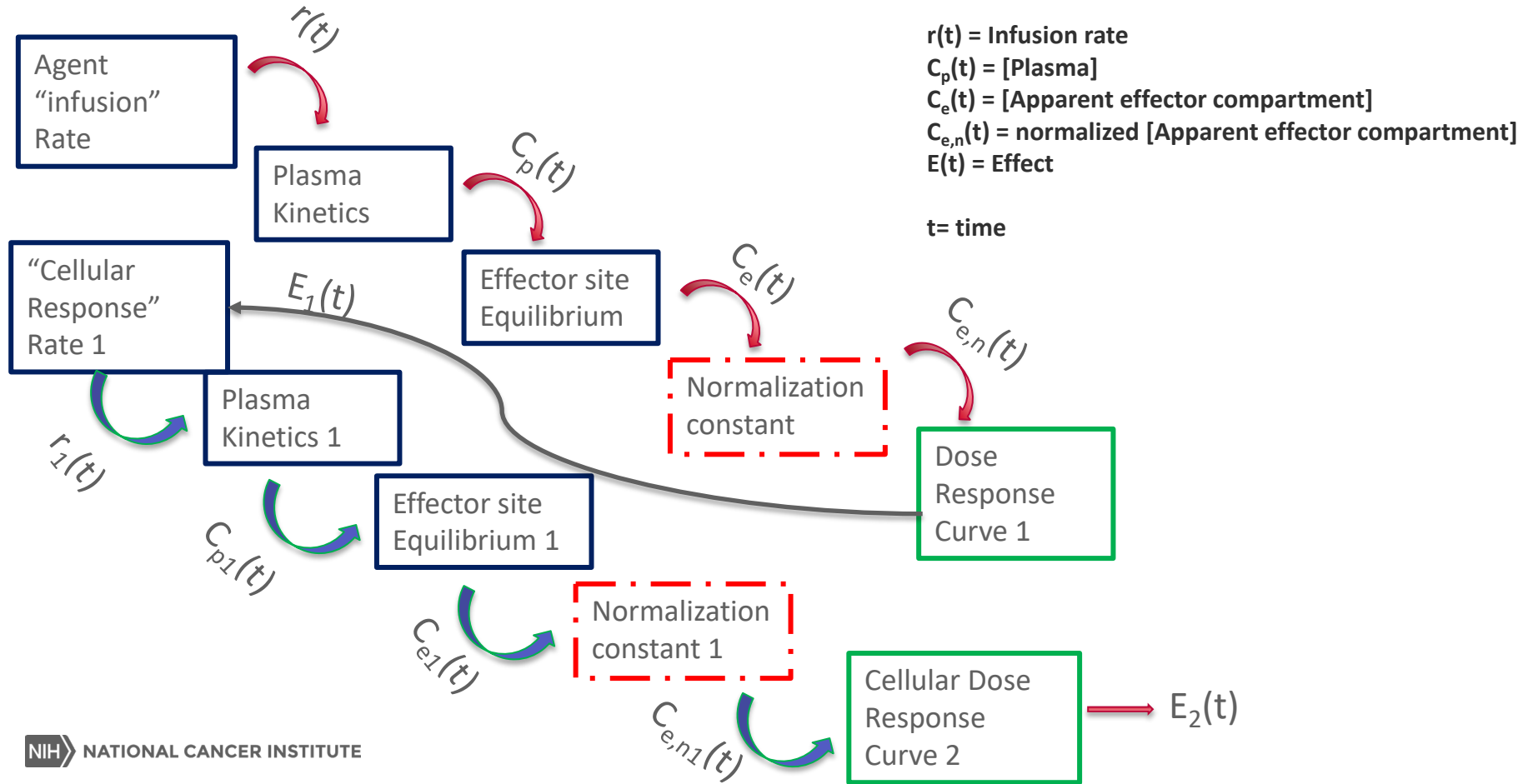
## TREATMENT

## THE ROOM WHERE IT HAPPENS

## EFFECT

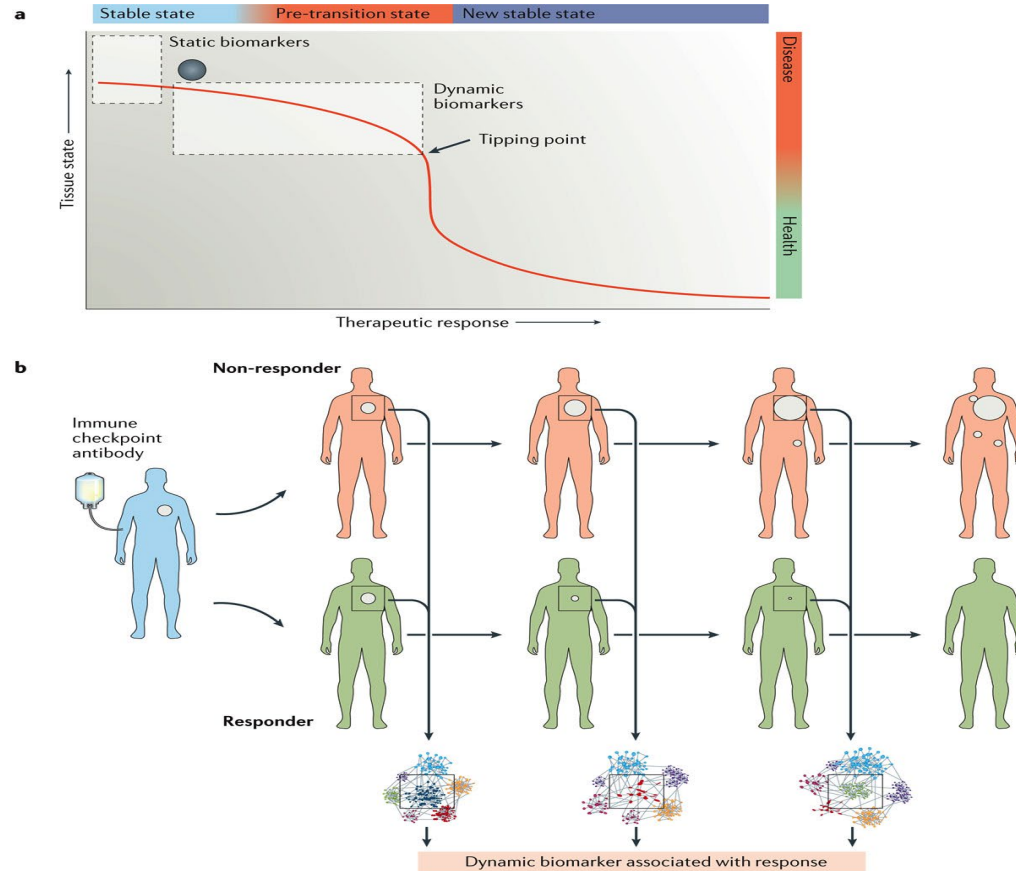


# Dose Response Considerations for IO agents



# Biomarker Approaches to TME

Lesterhuis et al  
Nature Reviews  
Drug Discovery  
2017



# Future Directions for Checkpoint Inhibition

## Single Agent Activity, in what setting, Recapitulate Immune pathways

Checkpoint Blockers & Agonists	Small Molecules	Vascular Targets	Traditional Rx XRT, ChemoRx, Target Rx	Cytokines	Intratumor	Cellular & Vaccines
Combination	Epigenetic	Vascular “Zip Code”	Immunogenic death	Inflammation	△ TME	TAg presentation
Sequence	IO Pathway targets	Normalization	IO impacts	Regulation	Abscopal	Starting Product
Resistance	△ TME	△ TME	△ TME	Migration		Product Survival
PK/PD/Toxicity	PK/PD/Toxicity	PK/PD/Toxicity	TKI regulation of IO pathway	PK/PD/Toxicity		PK/PD/Toxicity
Non classical CPs Micorbiome Adrenergic R						



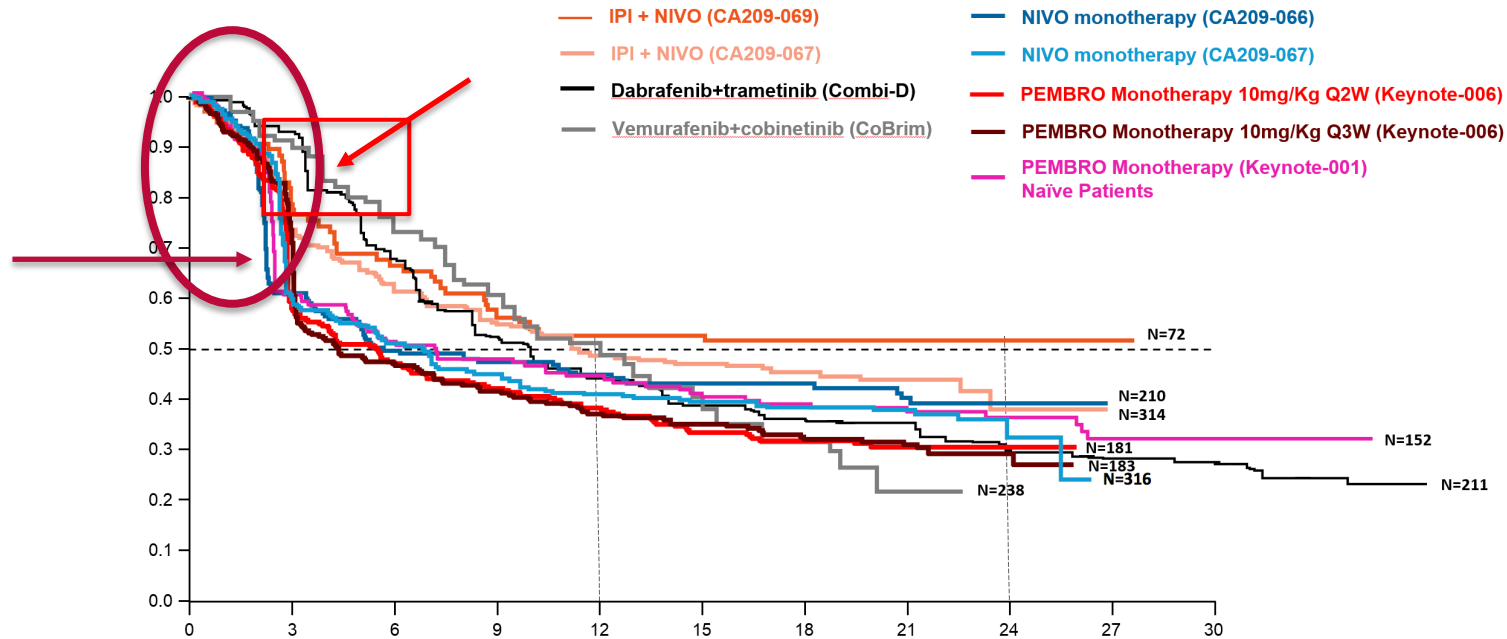
# Future Directions: Defining and Overcoming Resistance

- Primary Resistance
- Secondary Resistance
- Resistance after treatment discontinuation

# Primary vs. Secondary Resistance

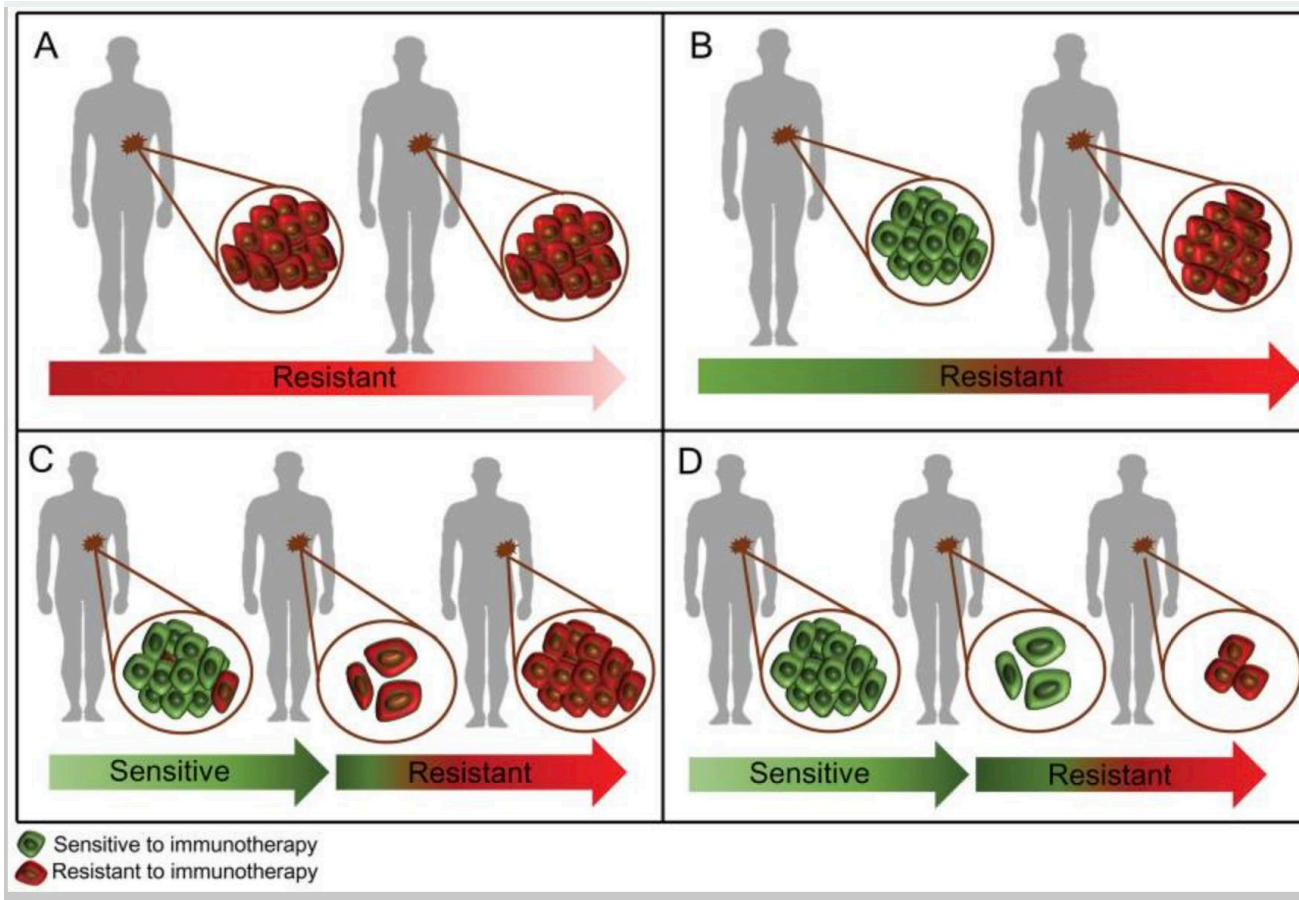
## Clinical Data observations

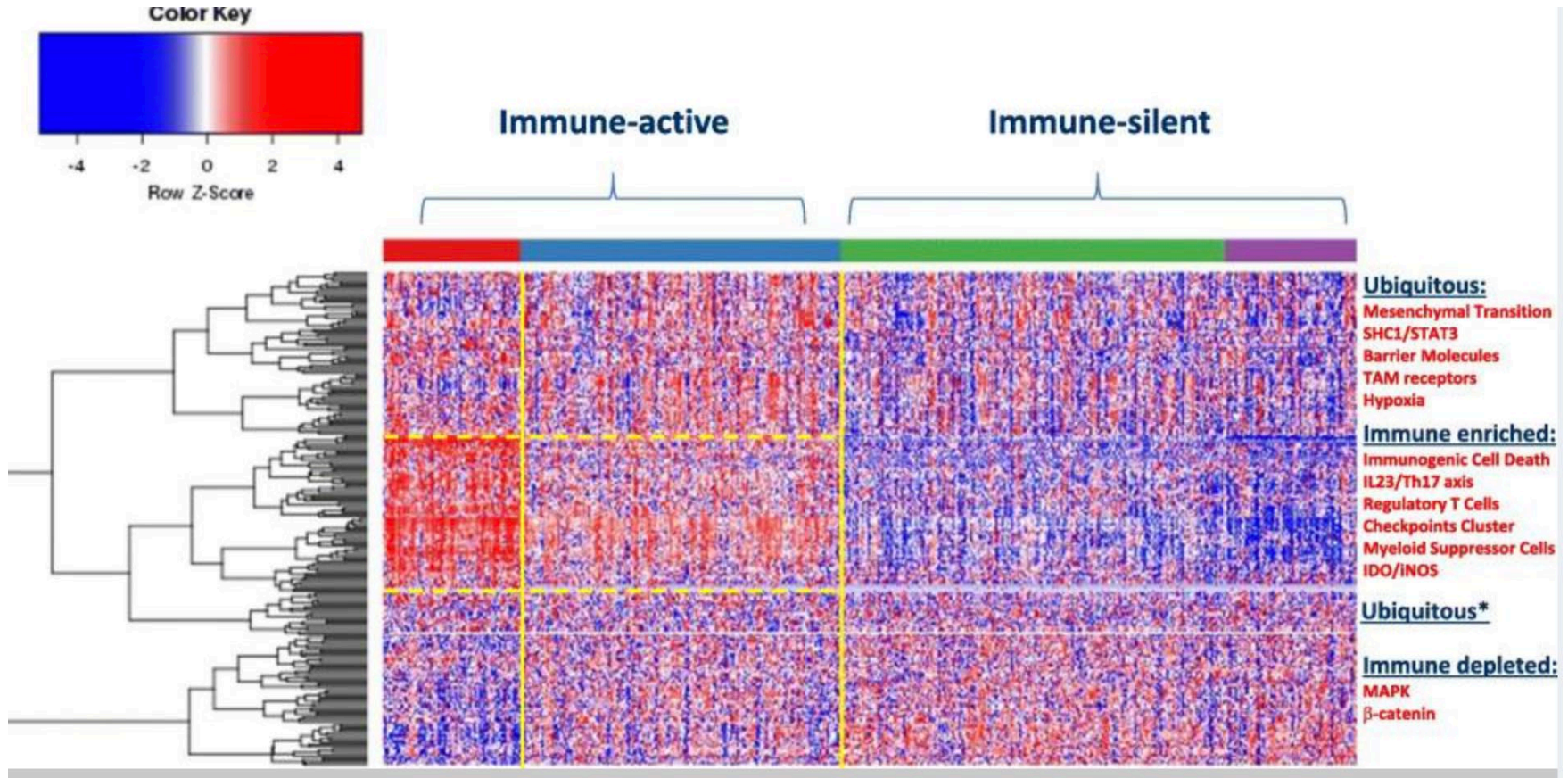
### PFS Landmark analysis of the most important studies in advanced melanoma



# Resistance Mechanisms

Sharma et al  
2017 Cell





# Integrated Approach to Overcome Resistance



## Clinical Data for the New Immune Therapies

The Median is not the Message: Stephen Jay Gould 1991

When diagnosed with abdominal mesothelioma he read that the 'median mortality' was eight months and concluded that most people would read such a statement as 'I will probably be dead in eight months.'

Dr. Gould's observation:

Firstly that, **biologically, it is variation that is the hard reality** rather than imperfect measures for a central tendency.

Secondly, that even with knowledge of prognostic features it is often difficult at diagnosis to know whether any individual is going to be to the left or right of the median. As **many curves are right-skewed** some patients will survive a long time, which was the case with Gould who died of another cause some years later.

Contact Marc S. Ernstoff, MD: [marc.ernstoff@nih.gov](mailto:marc.ernstoff@nih.gov)



**NATIONAL  
CANCER  
INSTITUTE**

[www.cancer.gov](http://www.cancer.gov)

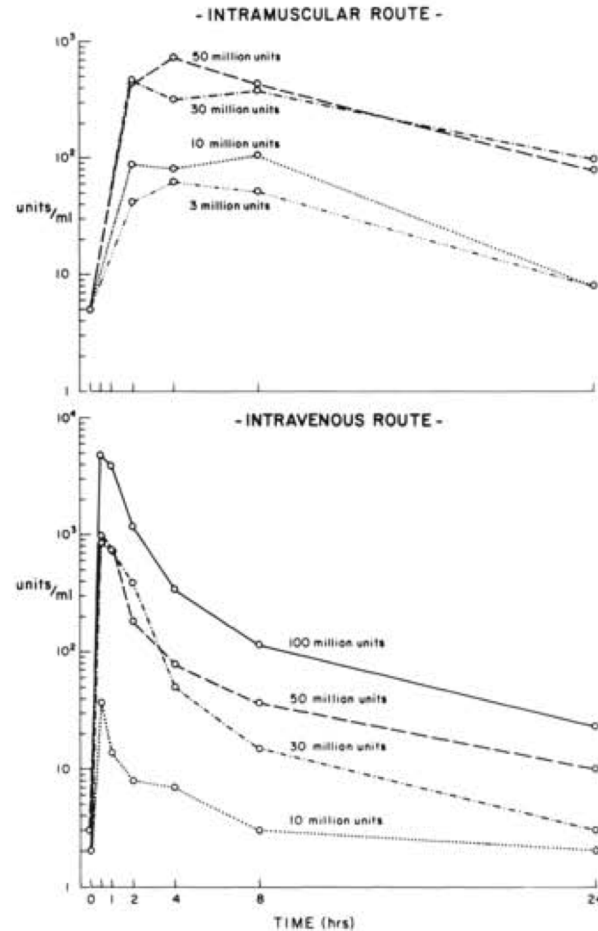
[www.cancer.gov/espanol](http://www.cancer.gov/espanol)

# Extra slides



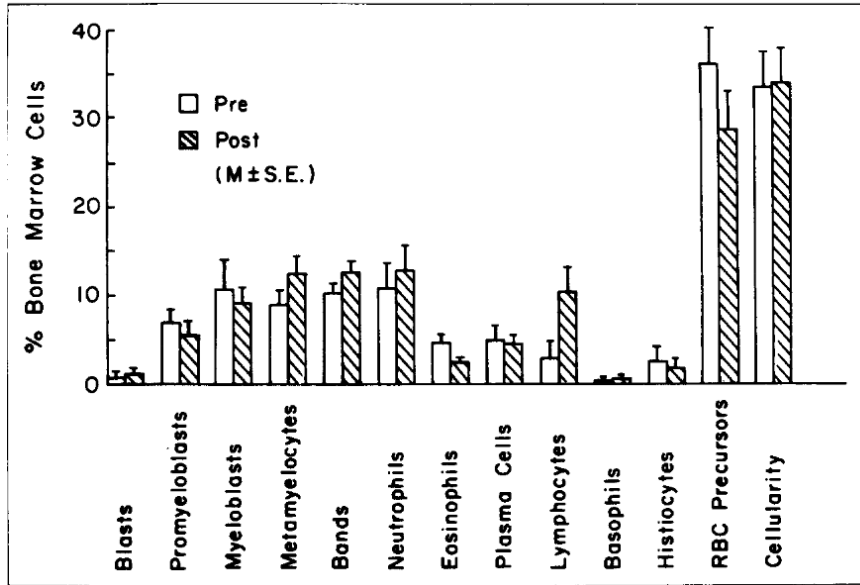
# PK Interferon by route

Kirkwood, Ernstoff et al 1985

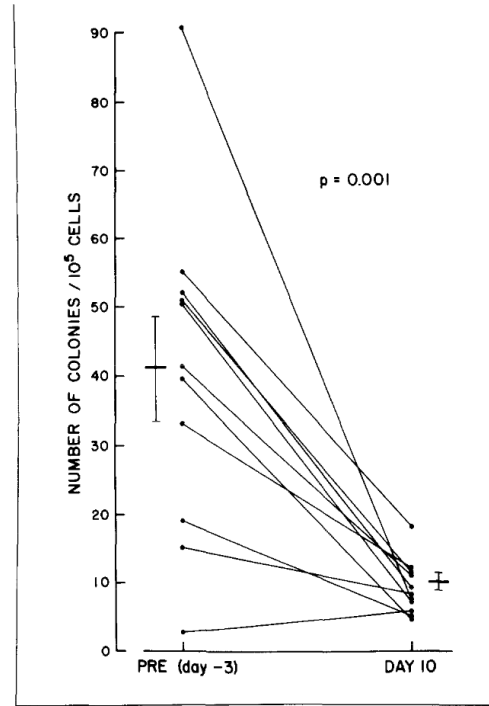


**Figure 2.** Pharmacokinetics of interferon alpha-2 according to route of administration and dosage in 33 patients. Serum interferon levels were measured by radioimmunoassay.

# PD of Interferon



Ernstoff et al 1984



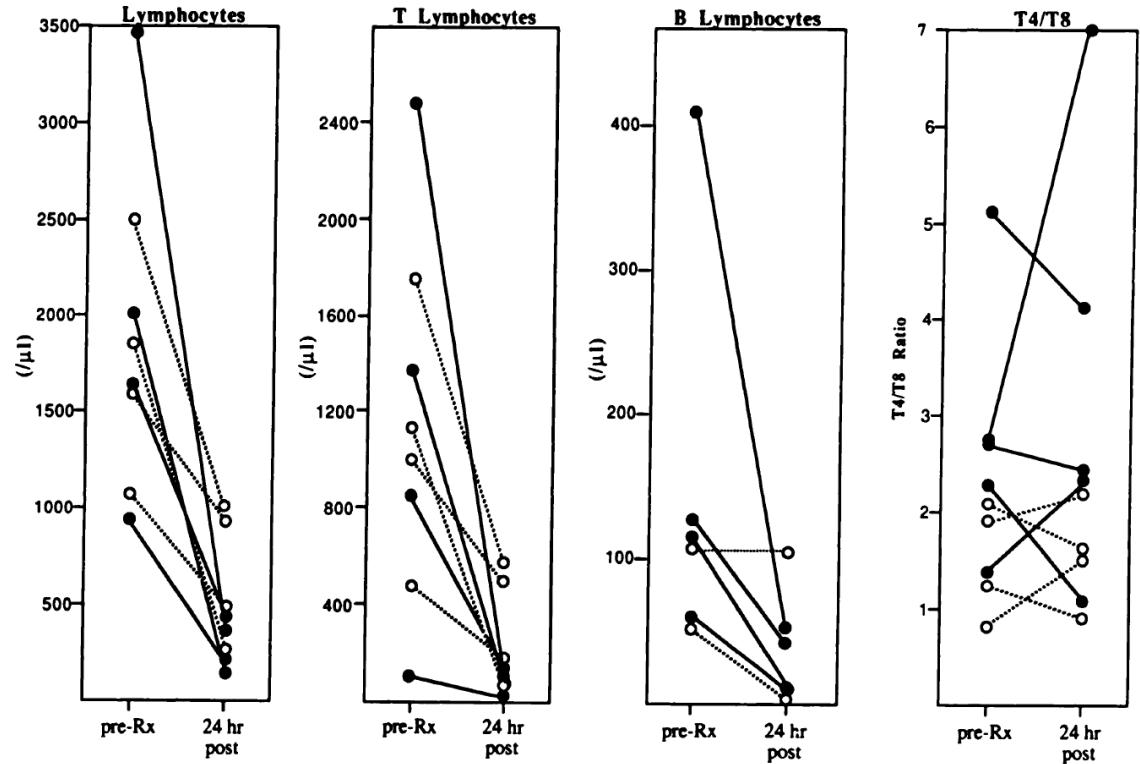
**Figure 2.** Human bone marrow colony-forming granulocyte-macrophage cell assay for each patient and as mean  $\pm$  SE (n = 11).

Ernstoff et al 1985

# PD rHIL-2

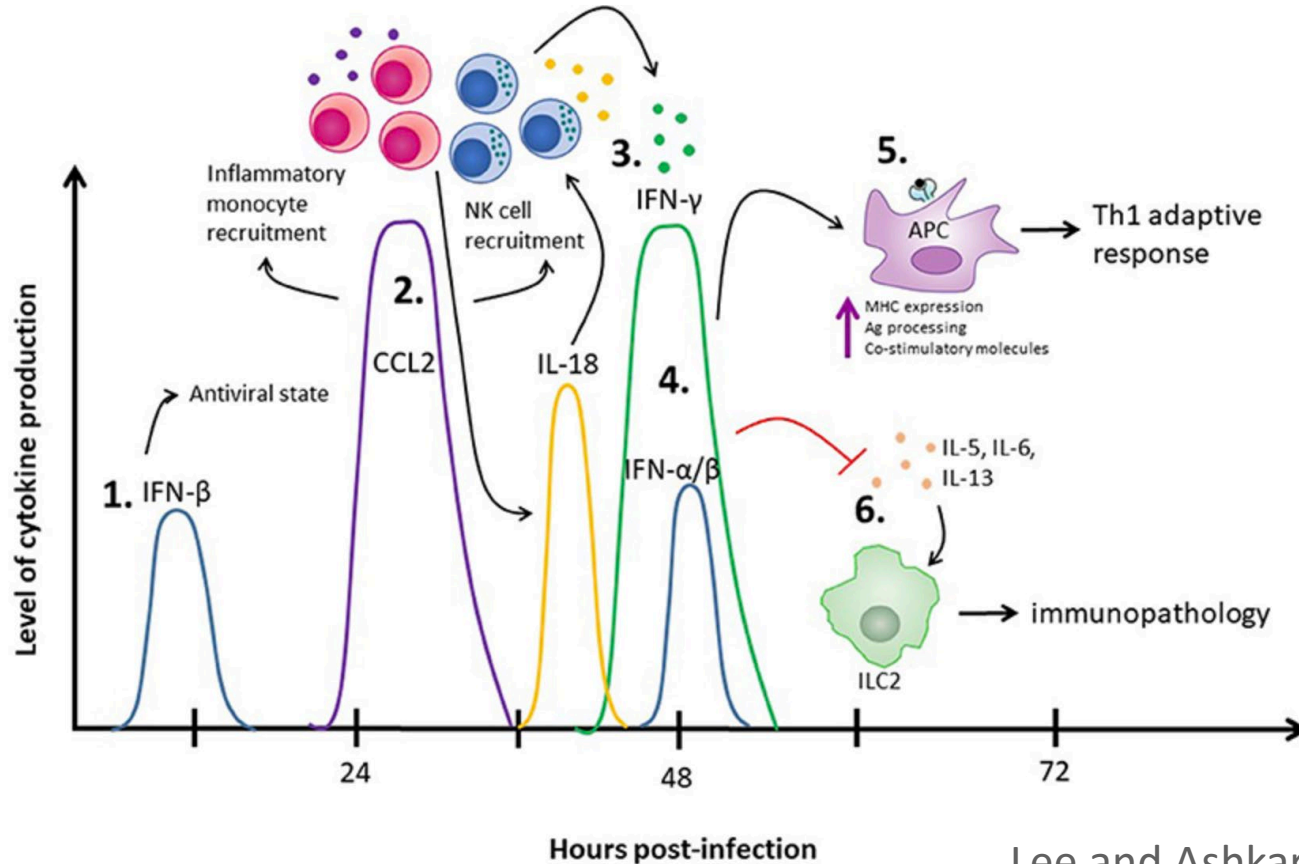
## PHASE I STUDY OF IL2

Fig. 1. Changes in lymphocyte number after 24-h IL2 infusions. The absolute lymphocyte count was determined for patients pre-, and 24 h post-, IL2 treatment (Rx). The absolute number of lymphocytes staining with OKT3 (T-lymphocytes) or Leu 12 (B-lymphocytes) is shown, as well as the OKT<sub>4</sub>:OKT<sub>8</sub> ratio. ○,  $1 \times 10^6$  units of IL2 over 24 h; ●,  $10 \times 10^6$  units of IL2 over 24 h.



Thompson JA et al 1987

# IFNs acute phases



Lee and Ashkar 2018

# Future Directions for Checkpoint Inhibition: Small Molecules

## Therapeutic monoclonal antibodies versus small molecule therapies

Monoclonal antibodies	Small molecule therapies
Larger (~150kD); mainly extracellular	Smaller (<1 kD); able to enter cells and cross blood-brain barrier
Target-specific	Less specific
Parenteral administration	Oral administration possible
Longer dosing interval (half-life: days to weeks)	Shorter dosing interval (half-life: hours)
Not eliminated via hepatic, renal or biliary routes	Elimination via hepatic, renal and/or biliary routes
Lower risk of drug-drug interactions	Drug-drug interactions possible